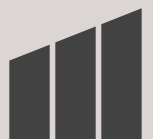


After The Event Insurance

Insolvency Scheme
Proposal Form



MARKEL



IP/Solicitors Name:	
IP/Solicitors Reference:	
Date:	

1. The Claim

Intended claimant by whom you are instructed:

Intended defendant:

Has the letter of claim been sent?:

 Yes No

Nature of claim, please tick (✓):

Preference

Transaction defrauding creditors

Transaction at an undervalue

Unlawful dividend

Wrongful trading

Other (set out)

Breach of fiduciary duty/misfeasance

Is the dispute one of fact, law or both?, please tick (✓):

Fact Law Both

What assessment has been made of the Opponent's means of satisfying any judgment?

Quantum of claim, principal sum only, without interest, please tick (✓):

Value	<input type="checkbox"/> Below 50k	<input type="checkbox"/> Below 250k	<input type="checkbox"/> Below 1m
	<input type="checkbox"/> Below 100k	<input type="checkbox"/> Below 500k	<input type="checkbox"/> Above 1m

Minimum acceptable settlement value as % of total value

2. Further investigation needed before proceedings are issued

Have proceedings been issued?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Will be within 30 days	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Not ready to issue yet	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Have you completed all investigations necessary before proceedings are issued?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

If not, please set out what remains to be done, its likely cost and expected duration. How might the results of this investigation impact on your assessment of the merits?

3. The likely defence

Set out the anticipated defence of the claim, addressing the merits of it and explain why you believe this is unlikely to be successful.

4. Counsel's opinion

Please attach an opinion of counsel addressing the merits of the claim and potential defence, concluding with an estimate of the possibility of success.

5. Terms of CFA Retainer

Please confirm the terms of your CFA with your client and Counsel including the level of success fee.
Please attach a copy of the CFA.

6. Overall litigation risk

What do you believe the overall litigation risk to be?
How do you intend to mitigate it?

7. The defendant's means and enforcement of any judgment

Set out why you believe the defendant is capable of satisfying a judgment sum in the amount claimed, including a summary of the investigation you have undertaken as to the defendant's assets. Please list these, giving their location with any valuations you have obtained in respect of them.

Please also include an estimate of the costs of their recovery:

Credit check	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Office copy entries	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Enquiry agent report	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Sole owner property	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Family living in property	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Second charge	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Property overseas	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Multiple properties	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>
Value over debt yes?	Value	£ <input type="text"/>	Identified	<input type="checkbox"/>

8. The proposed litigation plan

Detail the intended steps in the litigation, summarising the extent of likely disclosure, any need for expert evidence and the identity and number of witnesses likely to be required. Are documentary records preserved and accessible, including those on electronic platforms? Are all witnesses of fact ready and willing to engage in the litigation process? What contingencies do you need to provide for?

9. Settlement strategy

What are the likely opportunities for settlement and how will you maximise leverage at those opportunities?

10. Costs of litigation

By reference to the litigation plan above, set out your estimate of the total costs of the intended litigation, including VAT, all unpaid costs to date and disbursements.

	Own Solicitor's costs (excluding any success fee)	Own disbursements (excluding Counsel's fees)	Own Counsel's fees (excluding any success fee)	Opponent's costs
To date				
Estimated total to trial (inclusive of costs to date)				

11. Security for costs

Is an application for security likely? How do you propose to deal with it?

Certification

I certify that the information contained in this questionnaire sets out my best judgment of the prospects of success of the intended litigation and that there are no material facts of which I am aware which will impede recovery of the sum claimed.

Declaration

By submitting this proposal for ATE insurance cover, we confirm that the information provided above is correct to the best of our knowledge and that we have given a copy of the policy summary to our clients. We agree that this proposal and supporting documentation will form the basis of the contract between the insured and Markel International Insurance Company Limited.

IP/Solicitors name:
Signature:
Date:

Data Privacy Information

In order to find out how we use and collect your personal information please [click here](#)

Markel After The Event Insurance Services is a trading name of Markel International Insurance Company Limited, registered in England and Wales No: 00966670.VAT number 245 7363 49. Registered address, 20 Fenchurch Street, London EC3M 3AZ. Markel Group Inc. is the ultimate holding company for Markel International Insurance Company Limited. Markel International Insurance Company Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Financial Services Register Number 202570.

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